

06-16-04

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

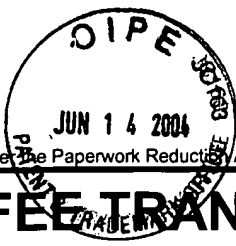
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/633,109
		Filing Date	August 1, 2003
		First Named Inventor	KASK, KALEV
		Group Art Unit	1645
		Examiner Name	
Total Number of Pages in This Submission	14	Attorney Docket Number	AGYT-017CIP2
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request to Add Inventor 2. Statement by Inventor 3. Supplemental Application Data Sheet 4. Consent of Assignee 5. Statement under 37 CFR 3.73(b) 6. Declaration 7. Copy of Assignment 8. Postcard	Remarks
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Signing Attorney/Agent (Reg. No.)	PAMELA J. SHERWOOD, 36.677 BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	June 14, 2004		

EXPRESS MAIL LABEL NO. EV333997410US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**130****Complete if Known**

Application Number	10/633,109
Filing Date	August 1, 2003
First Named Inventor	KASK, KALEV
Examiner Name	
Art Unit	1645
Attorney Docket No.	AGYT-017CIP2

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
Order☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

50-0815

Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1406	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

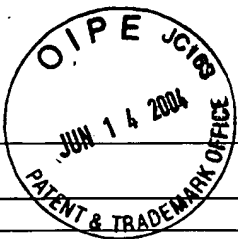
SUBTOTAL (3) (\$)**130****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Pamela J. Sherwood	Registration No. (Attorney/Agent)	36,677	Telephone	(650) 833-7790
Signature	<i>Pamela J. Sherwood</i>	Date	06/14/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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EXPRESS MAIL NUMBER: **EV333997410US**

**REQUEST TO ADD
INVENTOR
37 CFR 1.48(d)**

Address to:
Commissioner for Patents
Washington, D.C. 20231

Customer Number	24353
Application Number	10/633,109
Confirmation Number	3629
Filing Date	August 1, 2003
First Named Inventor	Kask et al.
Examiner Name	
Group Art Unit	1647
Attorney Docket	AGYT-017CIP2

Sir:

Due to an error occurring without deceptive intention it is requested that the inventor, Roman Urfer, be added to the inventorship in connection with the above-identified application. Transmitted herewith is a Supplemental Application Data Sheet, Statement by Inventor, Consent of Assignee, Statement Under 37 C.F.R. 3.73 (b), and Declaration.

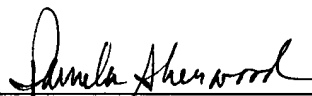
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815, order number STAN-317PRV.

06/18/2004 HVUONG1 00000005 500815 10633109

01 FC:1460 130.00 DA

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: June 14, 2004

By: 
Pamela J. Sherwood
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, California 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231



EXPRESS MAIL LABEL NO. EV333997410 US

STATEMENT BY INVENTOR Address to: Commissioner for Patents Washington, D.C. 20231	Attorney Docket	AGYT-017CIP2
	First Named Inventor	KASK et al.
	Application Number	10/633,109
	Confirmation Number	3629
	Filing Date	August 1, 2003
	Group Art Unit	1645
	Examiner Name	
	Title: INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE	

1. I, Roman Urfer, am a co-inventor of the invention set forth in the claims of the above-referenced application.
2. I was erroneously omitted as an inventor in the above-referenced application. The inventorship error occurred without any deceptive intention on my part.
3. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 03-15-04

By: 

Roman Urfer

EXPRESS MAIL LABEL NUMBER: **EV333997410US**

Consent of Assignee to Change Inventorship in Application under 37 CFR § 1.497(d) Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	AGYT-017CIP2
	First Named Inventor	KASK et al.
	Application Number	10/633,109
	Confirmation Number	3629
	Filing Date	August 1, 2003
	Group Art Unit	1645
	Examiner Name	
	Title: INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE	

AGY Therapeutics, Inc. is the Assignee of the entire right, title and interest in the above referenced application by virtue of an assignment from the original inventors to AGY Therapeutics, Inc., recorded on December 4, 2003, at Reel 014174, Frame 0585.

AGY Therapeutics, Inc. hereby agrees to the change on inventorship in the above-referenced application to add Roman Urfer as an inventor in the above referenced application as requested in the accompanying petition.

The undersigned, whose title is indicated below, is empowered to execute this statement on behalf of the assignee.

Date: 03/15/04Name: CYNTHIA LADDTitle: PRESIDENT & C.E.O.Signature: 